

11-23-01

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JC69 U.S.
11/21/01

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	28489/04000
First Inventor	Ing-Ming Chiu
Title	TRANSGENIC ANIMAL FOR SCREENING THERAPEUTIC AGENTS FOR BRAIN TUMORS
Express Mail Label No.	EL085326031

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 31] 31
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstracts
4. Drawing(s) (35 U.S.C. 113) [Total Pages 11] 11
5. Oath or Declaration [Total Pages 2] 2
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee)
11. English Translation Document (*if applicable*)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent
17. Other: Unexecuted Declaration and Power of Attorney

18. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation

Divisional

 Continuation-in-part (CIP)

of prior application No. /

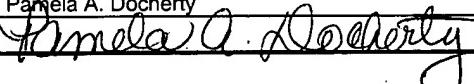
Prior application information:

Examiner

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**

24024	Customer Number or Bar Code Label			or	Correspondence address below
Name	Pamela A. Docherty 24024				
Address	PATENT TRADEMARK OFFICE				
City	State	Ohio	Zip Code		
Country	Telephone	(216) 622-8416	Fax	(216) 241-0816	

Name (Print/Type)	Pamela A. Docherty	Registration No. (Attorney/Agent)	40,591
Signature			
	Date	November 21, 2001	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2002

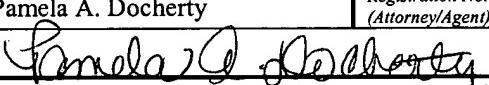
Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$370.00)

Complete if Known

Application Number	Not yet assigned
Filing Date	Concurrently herewith
First Named Inventor	Ing-Ming Chiu
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned
Attorney Docket No.	28489/04000

METHOD OF PAYMENT				FEE CALCULATION (continued)			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <input type="text" value="03-0172"/>				3. ADDITIONAL FEES			
Deposit Account Name <input type="text"/> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27				Large Entity Fee Fee Code (\$)	Small Entity Fee Fee Code (\$)	Fee Description Fee Paid	
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other				105	130	205	65
				127	50	227	25
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				147	2,520	147	2,520
				112	920*	112	920*
				113	1,840*	113	1,840*
				115	110	215	55
				116	400	216	200
				117	920	217	460
				118	1,440	218	720
				128	1,960	228	980
				119	320	219	160
				120	320	220	160
				121	280	221	140
				138	1,510	138	1,510
				140	110	240	55
				141	1,280	241	640
				142	1,280	242	640
				143	460	243	230
				144	620	244	310
				122	130	122	130
				123	50	123	50
				126	180	126	180
				581	40	581	40
				146	740	246	370
				149	740	249	370
				179	740	279	370
				169	900	169	900
1. BASIC FILING FEE				SUBTOTAL (1) <input type="text" value="\$370.00"/>			
2. EXTRA CLAIM FEES				Extra Claims Fee from below Fee Paid			
Total Claims	<input type="text"/>	-20** =	x	=			
Independent Claims	<input type="text"/>	- 3** =	x	=			
Multiple Dependent			<input type="text"/>	=			
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)		Fee Description				
101 740	201 370		Utility filing fee	\$370.00			
106 330	206 165		Design filing fee				
107 510	207 255		Plant filing fee				
108 740	208 370		Reissue filing fee				
114 160	214 80		Provisional filing fee	\$			
				SUBTOTAL (1) <input type="text" value="\$370.00"/>			
** or number previously paid, if greater; For Reissue, see above				* Reduced by Basic Filing Fee Paid			
				SUBTOTAL (3) <input type="text" value="(\$0)"/>			

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Pamela A. Docherty	Registration No. (Attorney/Agent)	40,591	Telephone	(216) 622-8416
Signature				Date	11/21/01

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